

Higher rank AGT and non-local operators

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Introduction

- **Spring 2009:** AGT duality, an explicit connection between 4D $\mathcal{N} = 2$ gauge theories and 2D CFT [Alday, Gaiotto, Tachikawa]

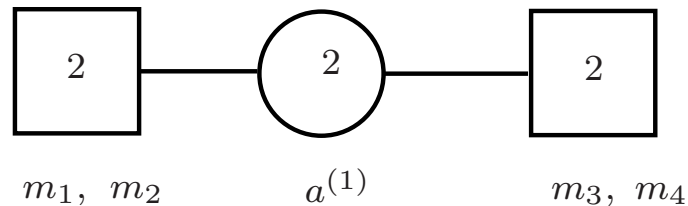
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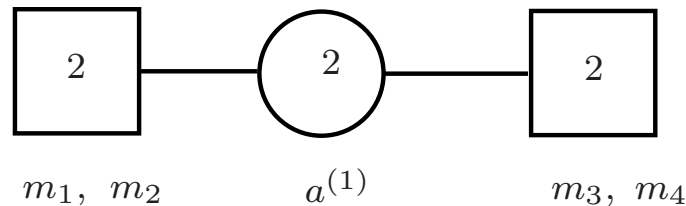
Simplest Example: $\mathcal{N} = 2$ SCYM on S^4 with gauge group $SU(2)$



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AGT:

$$Z_{S^4} = \langle V_{\beta_1} V_{\beta_2} V_{\beta_3} V_{\beta_4} \rangle_{\text{Liouville}}$$

The partition function is equivalent to a 4-point correlation function in Liouville CFT

Gauge Theory:

The partition function of $\mathcal{N} = 2$ SCYM on S^4 results

[Pestun]

$$Z_{S^4} = \int da^{(1)} Z_{\text{cl}} Z_{\text{1-loop}} Z_{\text{inst}}$$

the partition function localizes to a **matrix integral in $a^{(1)}$** , the VEV of the scalar in the vector multiplet that parameterize the Coulomb branch

• where

– $Z_{\text{cl}} = e^{-\frac{4\pi^2 r^2}{g^2} \text{Tr}(a^{(1)})^2}$ is the classical contribution

– $Z_{\text{1-loop}} = Z_{\text{1-loop}}(a, m)$ is the perturbative contribution

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- Half-BPS Wilson loop $\langle W \rangle = \int da^{(1)} Z_{\text{cl}} Z_{\text{1-loop}} Z_{\text{inst}} \text{Tr}_R e^{2\pi r i a^{(1)}}$

Liouville CFT:

- **Primaries:** $V_\alpha(z, \bar{z}) = e^{2\alpha\phi(z, \bar{z})}$ characterized by one imaginary parameter
- **Conformal Bootstrap Approach:** any correlator can be expressed in terms of 3-point functions and conformal blocks

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For our basic example

$$\begin{aligned} & \langle V_{\beta_1}(\infty) V_{\beta_2}(1) V_{\beta_3}(z) V_{\beta_4}(0) \rangle \\ &= \int d\alpha^{(1)} \langle \beta_1 | V_{\beta_2} | \alpha^{(1)} \rangle \langle \alpha^{(1)} | V_{\beta_3} | \beta_4 \rangle \mathcal{F}_{\alpha, \beta}(z) \bar{\mathcal{F}}_{\alpha, \beta}(\bar{z}) |z|^{2(\Delta_\alpha - \Delta_{\beta_3} - \Delta_{\beta_4})} \end{aligned}$$

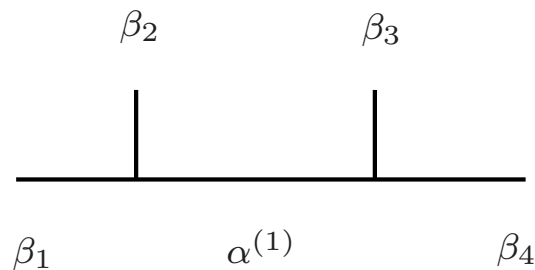
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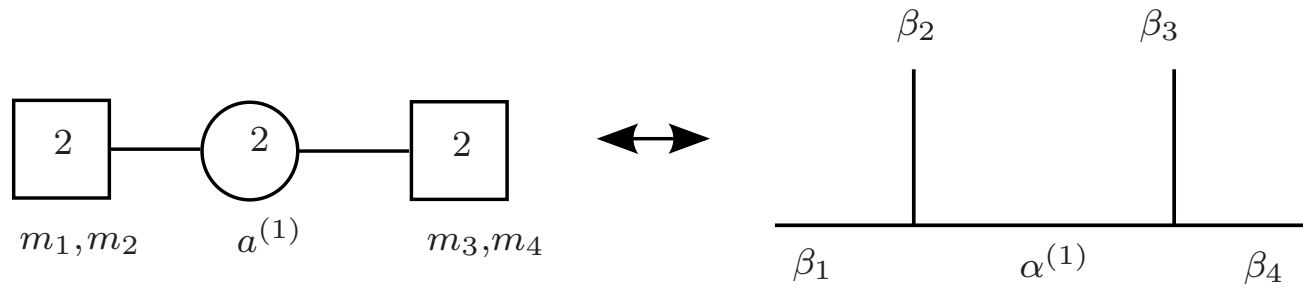
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and this specific decomposition is schematized by the diagram

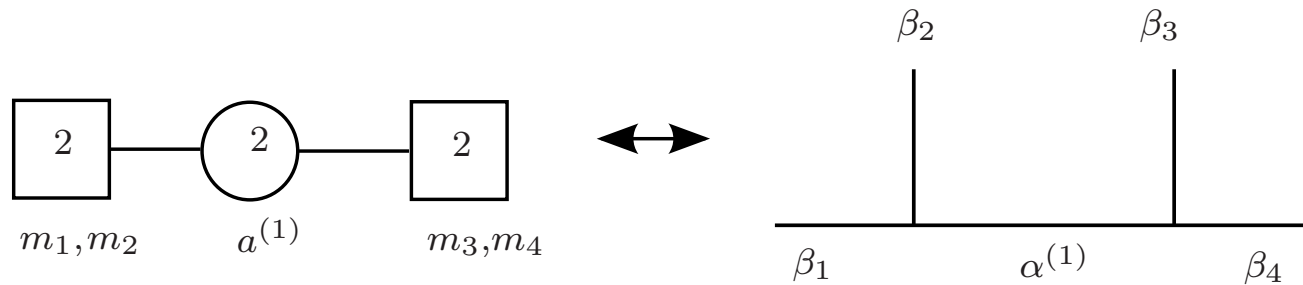


AGT



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- **Dictionary:**

- Coulomb branch parameters $a^{(1)} \Leftrightarrow$ primaries in the internal channels $\alpha^{(1)}$
- Hypermultiplets masses $m \Leftrightarrow$ primaries in the external channels β
- $Z_{1\text{-loop}} \Leftrightarrow$ product of **3-point functions** (DOZZ formulas)
- $Z_{\text{inst}} \Leftrightarrow$ conformal block $\mathcal{F}_{\alpha, \beta}$
- $q = e^{2\pi i \tau} \Leftrightarrow z$

M-theory picture: 4D $\mathcal{N} = 2$ gauge theories describe the low energy dynamics of a stack of M5-branes compactified on a punctured Riemann surface [Gaiotto][Witten]

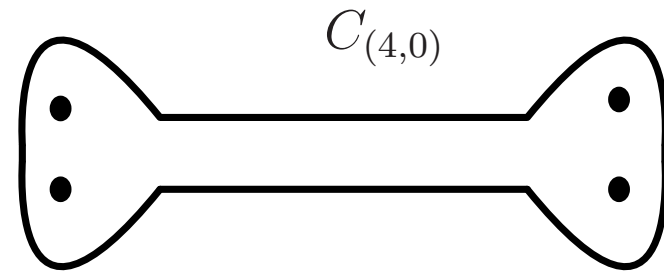
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Example: $\mathcal{N} = 2$ $SU(2)$ SCYM

	$4D$	$C_{(4,0)}$									
	0	1	2	3	4	5	6	7	8	9	10
2 M5	X	X	X	X	X	X					

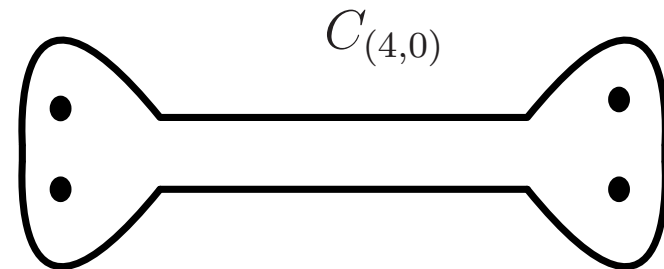


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- 4 punctures $\Leftrightarrow (SU(2))^4$ flavor group (one puncture for any $SU(2)$)
- 1 tube $\Leftrightarrow SU(2)$ gauge group

Liouville theory is defined on the Gaiotto curve $C_{(4,0)}$. To any puncture is associated an insertion in the correlation function.

Outline

- introduction
 - $SU(2)$ AGT
 - higher rank AGT
- AGT for quiver tails
- adding non-local operators to the AGT proposal
 - surface operators from 2D CFT
- Conclusion

Higher Rank AGT: The partition function of conformal $\mathcal{N} = 2$ theories on S^4 with gauge group $SU(N)^k$ is equivalent to a correlation function in A_{N-1} Toda CFT
[Wyllard][Kanno, Matsuo, Shiba]

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A_{N-1} Toda theory:

It is described by a 2D Lagrangian $S_{A_{N-1}} = S_{A_{N-1}}(\phi, b)$

- $\phi = \sum_{k=1}^{N-1} \varphi_k e_k$ where e_k is a simple root of A_{N-1} algebra
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- $\langle \cdot, \cdot \rangle$ is the scalar product in the root space
- α is a vector in the root space of the A_{N-1} algebra, $\alpha = Q\rho + \gamma$
- the conformal dimension is given by $\Delta(\alpha) = \frac{1}{2} \langle \alpha, 2Q\rho - \alpha \rangle$

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V_α depend on $N - 1$ parameters γ

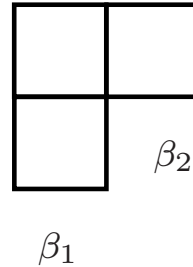
Semi-degenerate representations of Toda CFT

- in the orthonormal basis $\alpha = Q(-\frac{N+1}{2}, \dots, \frac{N+1}{2}) + (\gamma_1, \dots, \gamma_N)$
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- when γ is **imaginary**, the descendants form an irreducible representation of \mathcal{W}_N algebra
- **Semi-degenerate states** have descendants that are null vectors
- **Physical semi-degenerate states** have all the null states at **level 1**
[Kanno, Matsuo, Shiba, Tachikawa]
 - γ has real components
 - α is invariant under a subgroup of the permutation group S_N
 - subgroups of S_N are described by partition of N , i.e. **Young diagram with N boxes**

- For instance, the degenerate state of A_2 Toda

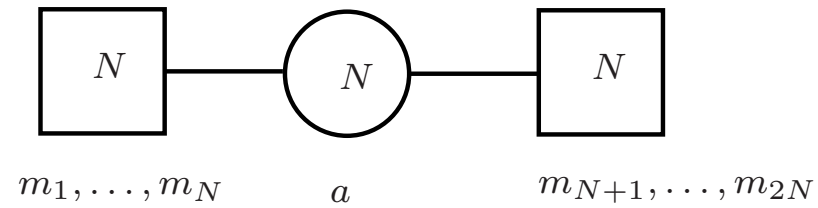


- $[2,1]$ diagram, is invariant under $S_2 \times S_1 \subset S_3$
- The momentum is given by

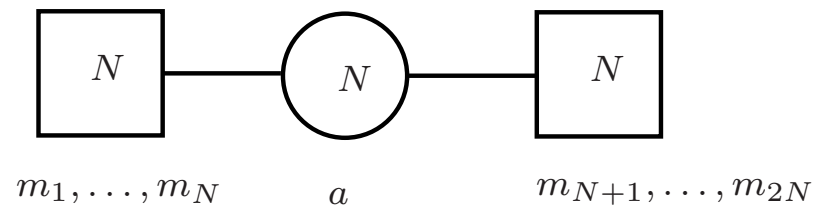
$$\begin{aligned}\alpha &= Q\rho + (\beta_2, \beta_1 + \delta_{2,1}, \beta_1 + \delta_{2,2}) \\ &= (\beta_2 + Q/2, \beta_1 - Q/2, \beta_1 - Q/2)\end{aligned}$$

- $\delta_{n,j} = (2j - n - 1)Q/2$

For example, $\mathcal{N} = 2$ $SU(N)$ SCYM:

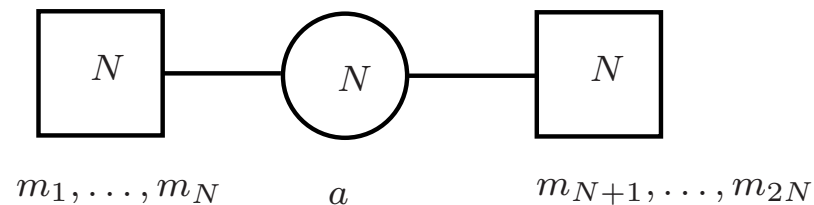


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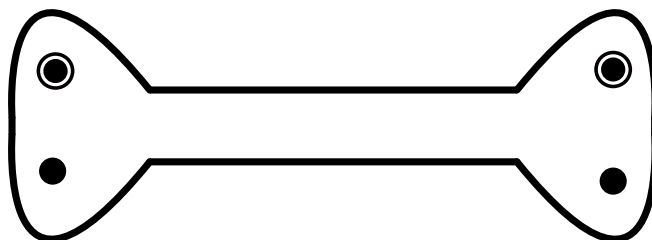


- The **flavor group** is $(U(1) \times SU(N))^2$. Two types of punctures
 - **simple puncture** associated to $U(1)$ flavor group (1 mass parameter)
 - **full puncture** associated to $SU(N)$ flavor group ($N - 1$ mass parameters)

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A_{N-1} Toda CFT

- simple puncture \Leftrightarrow simple degenerate state $V_\mu, \mu \sim \begin{array}{|c|} \hline \square \\ \square \\ \square \\ \square \\ \hline \end{array}$ (1 parameter)
- full puncture \Leftrightarrow non-degenerate state $V_\beta, \beta \sim \square\square\square\square$ ($N - 1$ parameters)

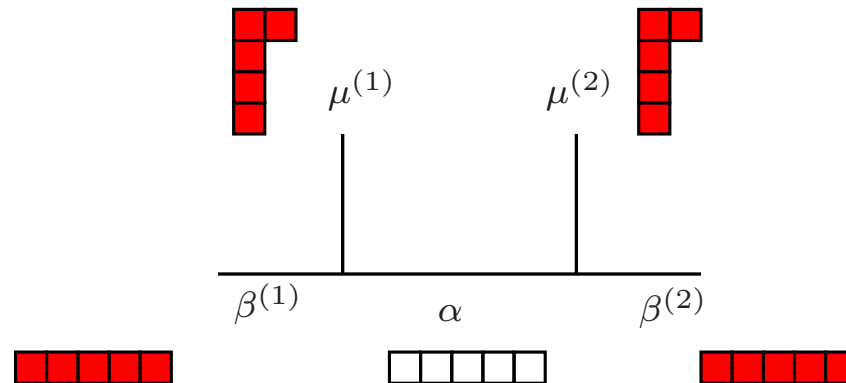
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It results:

$$Z_{S^4} = \langle V_{\beta^{(1)}} V_{\mu^{(1)}} V_{\mu^{(2)}} V_{\beta^{(2)}} \rangle_{A_{N-1} \text{ Toda}}$$

where the correlation function is decomposed as

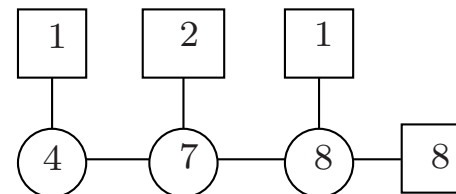
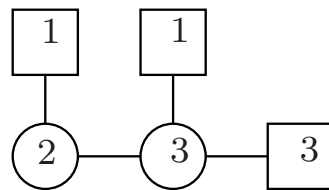


- The primary state in the internal channel α , is non-degenerate and depends on $N - 1$ parameters. This is the dimension of the **Coulomb branch of $SU(N)$ gauge group**.

The problem

- There are also conformal gauge theories where the gauge group is $\prod_i SU(N_i)$ with different values of N_i .

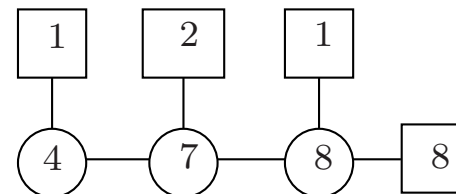
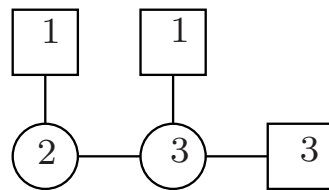
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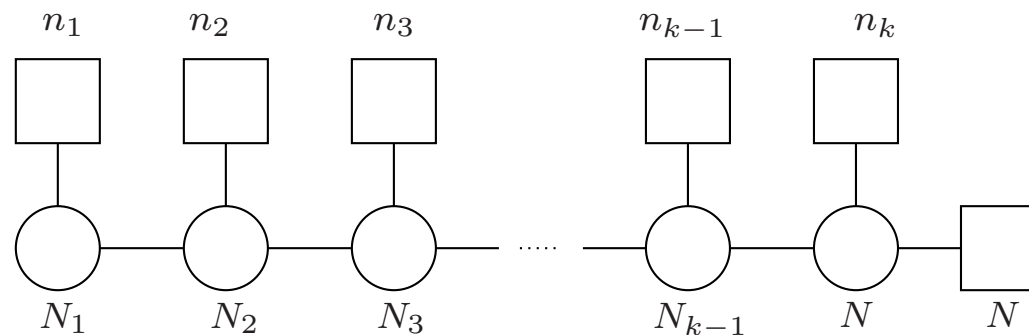
- How the partition function of this class of theories is reproduced in Toda CFT ?

Quiver Tails

- A $\mathcal{N} = 2$ $SU(N)$ gauge theory is conformal when it couples to $N_F = 2N$ matter fields in the fundamental and anti-fundamental representation

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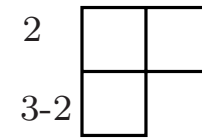
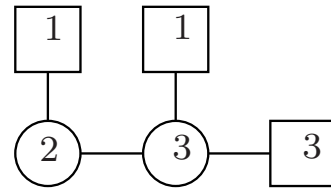
- A $\mathcal{N} = 2$ $SU(N)$ gauge theory is conformal when it couples to $N_F = 2N$ matter fields in the fundamental and anti-fundamental representation
- It is possible to end a linear quiver with a finite series of gauge groups of decreasing rank: **a quiver tail**



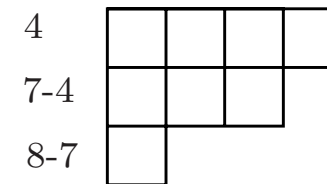
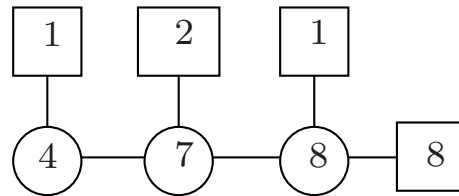
- the theory is conformal when $n_i = 2N_i - N_{i+1} - N_{i-1} \geq 0$
- the tail is characterized by the series $N_1 < N_2 < \dots < N_k = N$.

This information can be encoded in a Young diagram with N boxes with the r^{th} row of length $N_r - N_{r-1}$

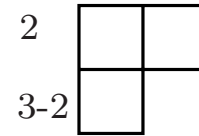
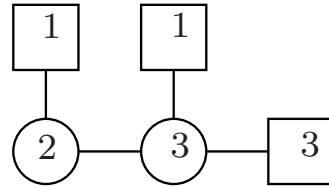
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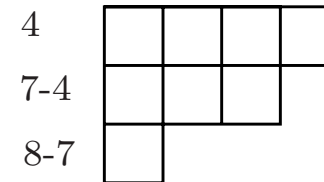
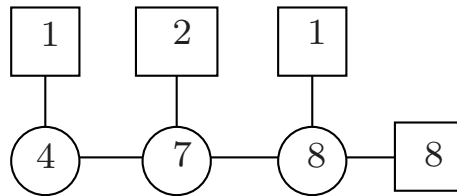
OR



- For instance



or



- Physical semi-degenerate states in A_{N-1} Toda CFT are characterized by a Young diagram with N boxes.

AGT for Quiver Tails

- **Claim:** The partition function of a quiver gauge theory with a tail, can be expressed as a correlation function in Toda CFT

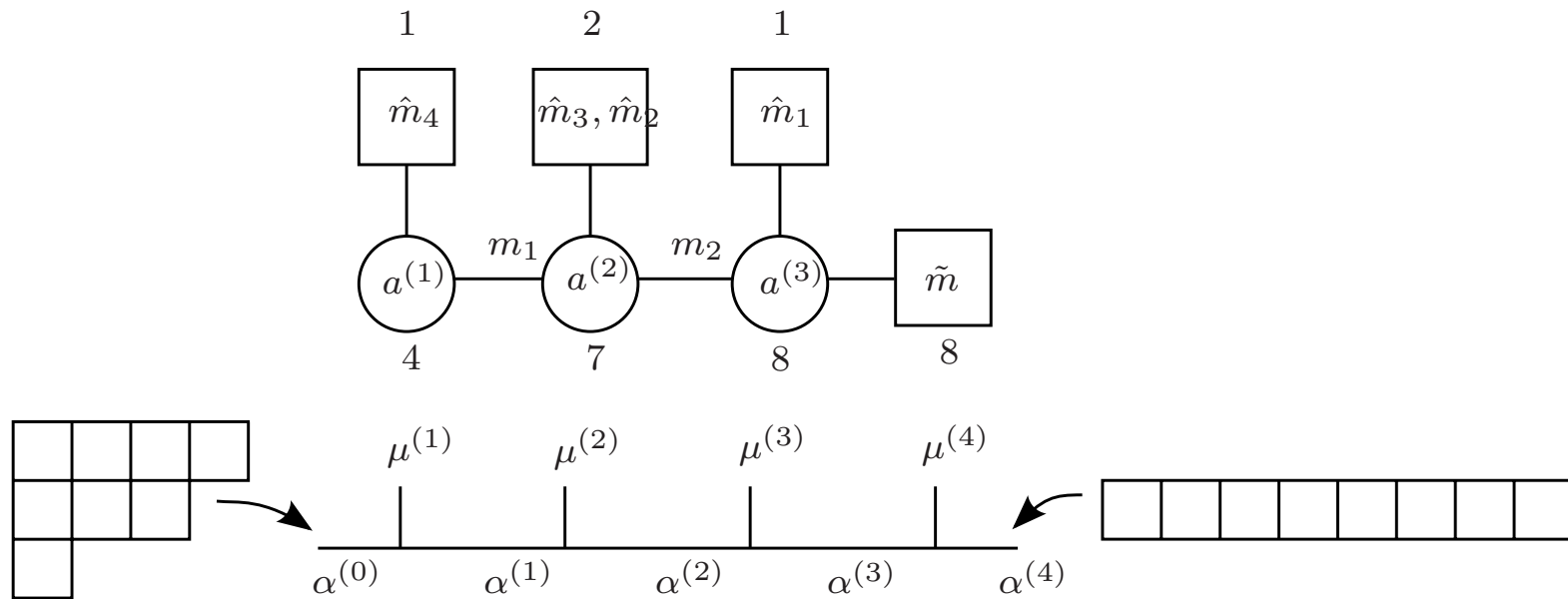
[Kanno, Matsuo, Shiba, Tachikawa] [Kanno, Matsuo, Shiba] [Drukker,FP]

- $SU(N)$ is maximum rank group $\Leftrightarrow A_{N-1}$ Toda CFT
- 1 insertion is a **semi-degenerate state** with the same Young diagram of the tail
- $k + 1$ **simple insertions** $\mu^{(l)} \sim \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}$

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[Drukker, FP]

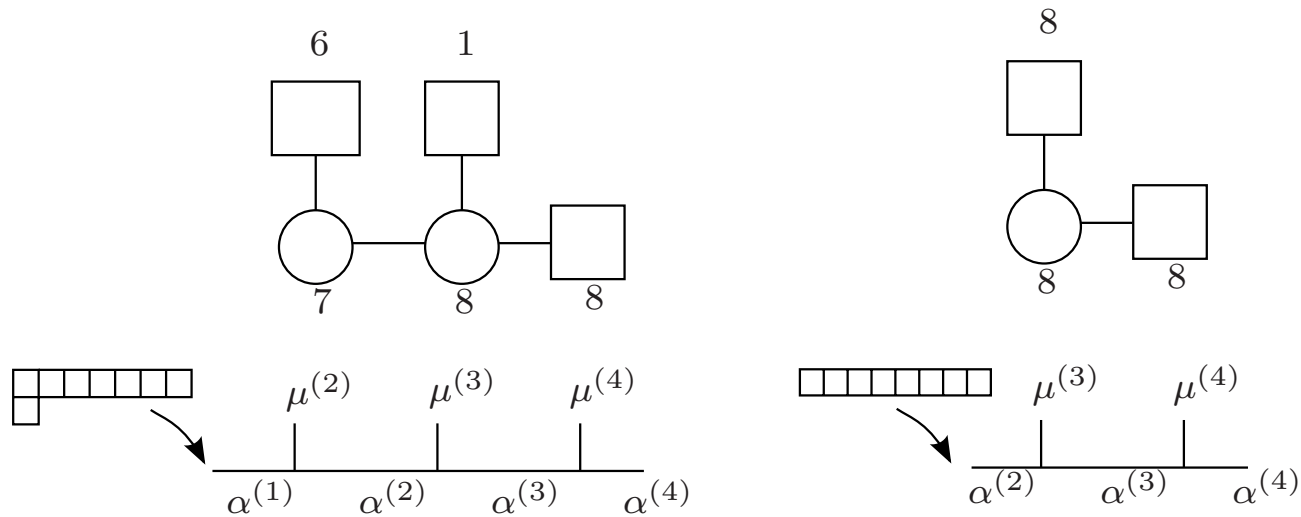
- How are the masses m_l, \hat{m}_l encoded in the states $\mu^{(l)}, \alpha^{(0)}, \alpha^{(4)}$
- What are the allowed states $\alpha^{(l)}$ in the internal channels, and how are they related to the Coulomb branch parameters $a^{(l)}$

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Let's cut the tail

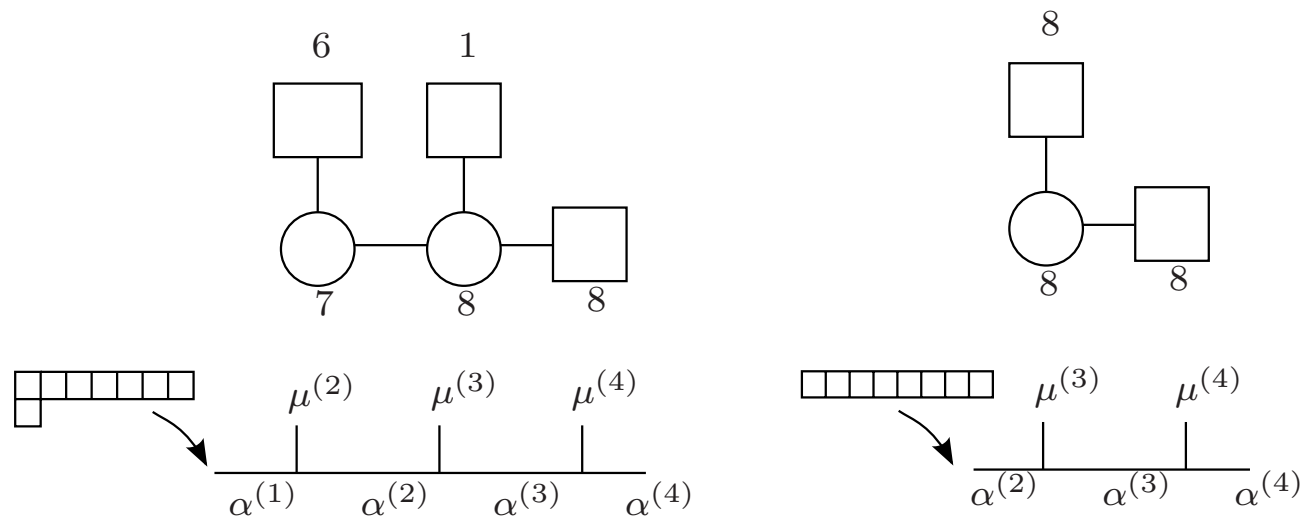


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[Drukker, FP]

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- What are the allowed states $\alpha^{(l)}$ in the internal channels, and how are they related to the Coulomb branch parameters $a^{(l)}$

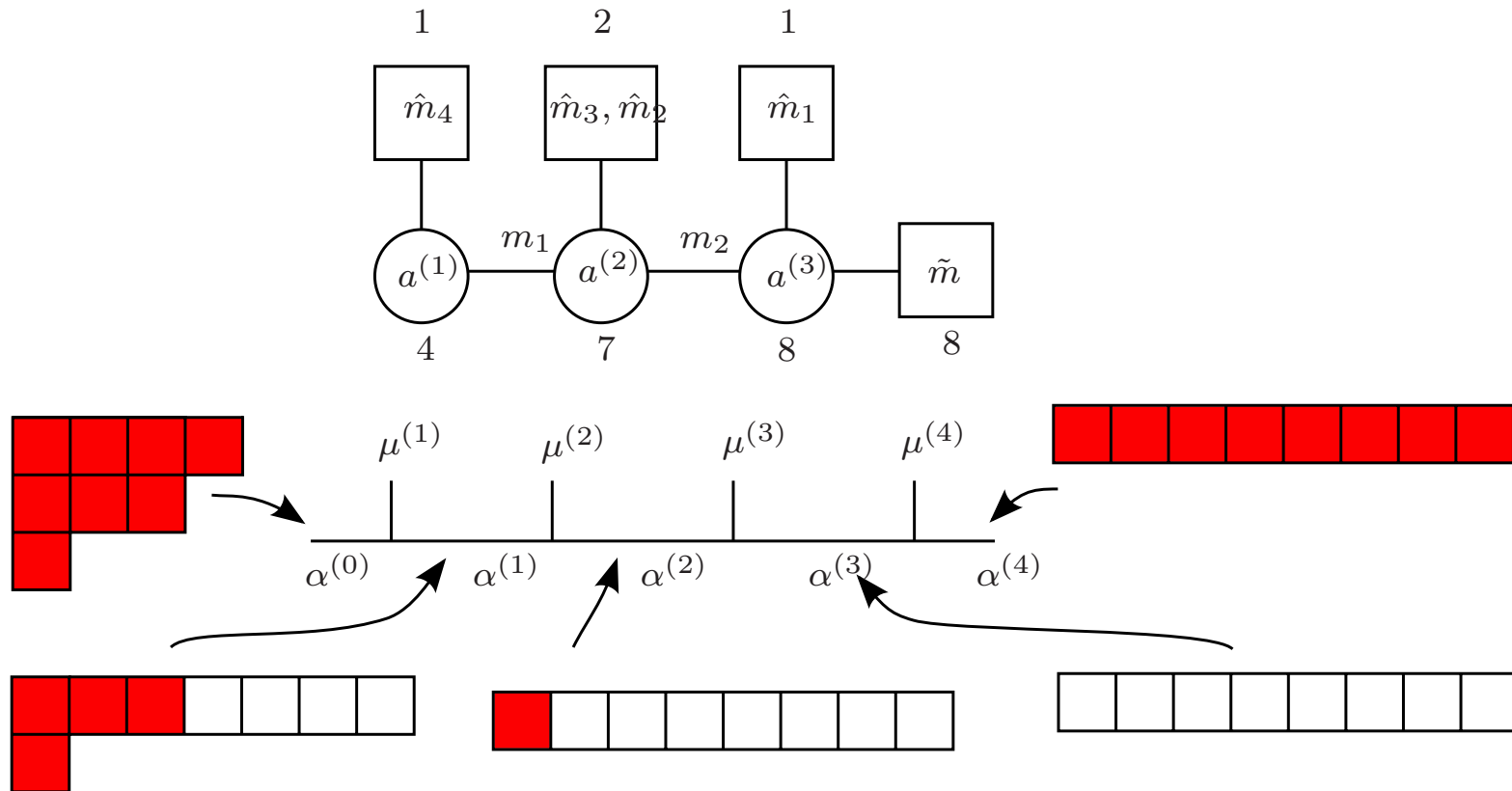
Let's cut the tail



- $\alpha^{(1)}$ has 7 parameters: 3 are related to the masses, 4 to the Coulomb branch
- $\alpha^{(2)}$ has 8 parameters: 1 is related to the masses, 7 to the Coulomb branch

The proposal:

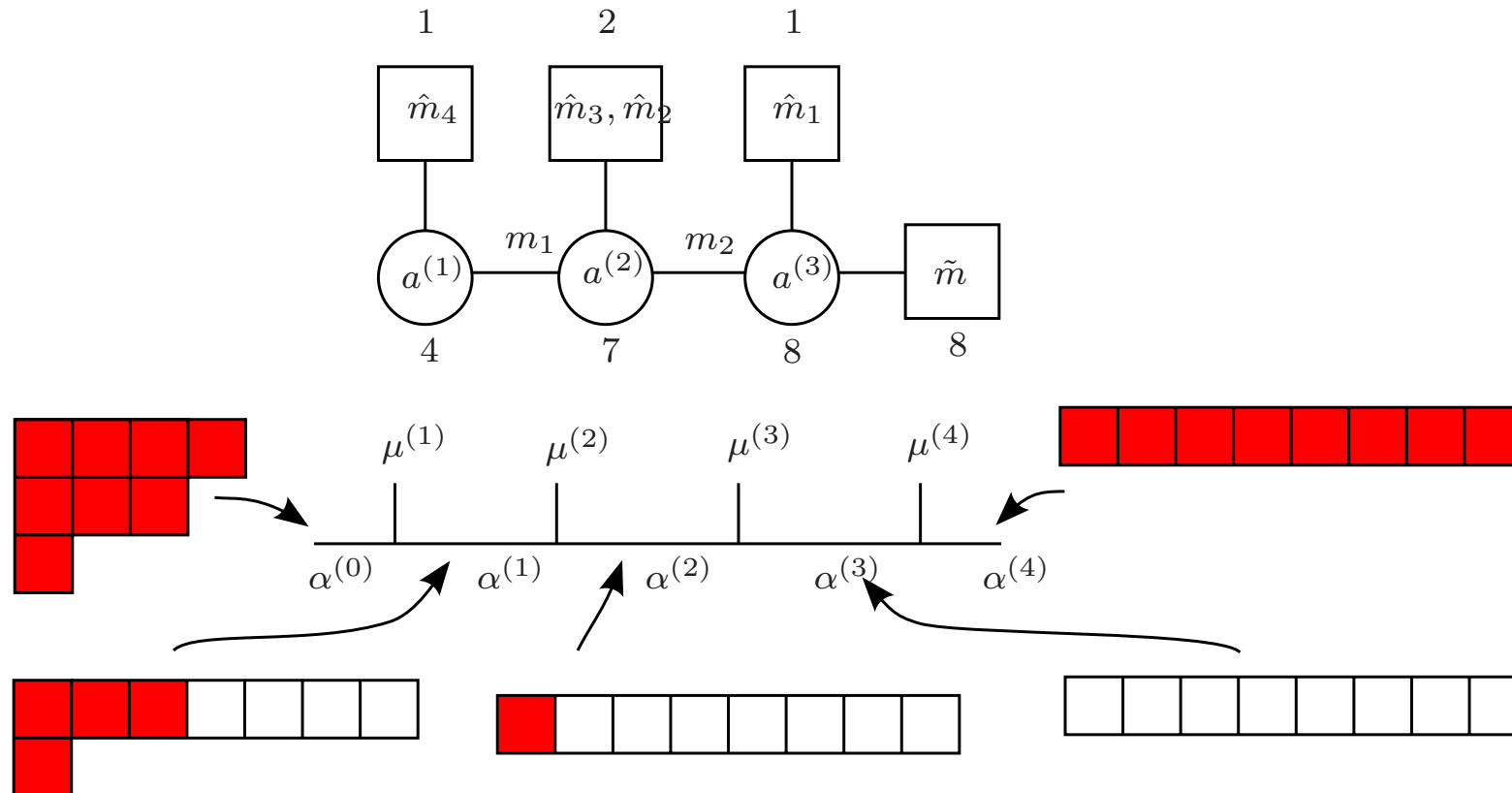
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- parameters related to **white boxes** are fixed by Coulomb branch parameters

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Proof: study fusion rules for semi-degenerate Toda state.

AGT and non-local operators

Consider supersymmetric M-brane intersections

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For example, M5-M5 intersection:

	$4D$				$C_{(n,g)}$							
	0	1	2	3	4	5	6	7	8	9	10	
N M5	X	X	X	X	X	X						
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- 2D on 4D space \Leftrightarrow surface operator
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[Alday, Tachikawa]

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The symmetry of the 2D CFT depends on the type of surface operator.

Surface operators

A **surface operator** can be defined imposing a singular behavior of the gauge field on a **2D subspace** [Gukov, Witten]

- (z_1, z_2) complex coordinates of R^4 . The operator is placed at $z_1 = 0, z_2 = re^{i\theta}$

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- The structure of the singularity is described by a partition of N , $[n_i]$

$$(\alpha_1, \dots, \alpha_N) = (\underbrace{\alpha^{(1)}, \dots, \alpha^{(1)}}_{n_1}, \underbrace{\alpha^{(2)}, \dots, \alpha^{(2)}}_{n_2}, \dots, \underbrace{\alpha^{(M)}, \dots, \alpha^{(M)}}_{n_M})$$

- the extremal cases are
 - **full** surface operator has $\alpha_1 \neq \dots \neq \alpha_N$, $([n_i] \sim \square\square\square\square)$
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Same classification of the punctures, since also the punctures can be realized as intersecting M5-branes.

Conjecture: Given a surface operator characterized by a partition $[n_i]$, the symmetry of the 2D CFT is $W(\hat{S}L(N), [n_i])$.

[Braverman, Feigin, Finkelberg, Rybnikov]

[Wyllard][Tachikawa][Kanno, Tachikawa]

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Full surface operators. The expected symmetry is $\hat{S}L(N)$.

- the unbroken gauge group is $U(1)^{N-1}$
- $N - 1$ monopole numbers $\ell_i = \frac{1}{2\pi} \int_{z_2=0} F_i$
- the instantons are classical solutions with a singularity

$$A_\mu dx^\mu = \bar{A}_\mu dx^\mu + f(r) \text{diag}(\alpha_1, \dots, \alpha_N) id\theta$$

- instanton number k is the instanton number of \bar{A}_μ
- N topological quantities $k, \ell_1, \dots, \ell_{N-1}$

$$\vec{k} = (k_1, \dots, k_N) \quad \text{where} \quad k_1 = k \quad k_{i+1} = k_i + \ell_i$$

The instanton partition function is known

[Braverman][Braverman, Etingof][Negut][Alday, Tachikawa][Kanno, Tachikawa]

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the N parameters y_i correspond to the $N - 1$ parameters of the full surface operator and the usual instanton expansion parameter

- $\lambda = (\lambda_1, \dots, \lambda_N)$ is a vector of Young tableau
- $k_i = \sum_{j \geq 1} \lambda_j^{i-j+1}$
- $Z_{\vec{k}}(\lambda)$ depends on the field content

$\mathcal{N} = 2$ $SU(N)$ gauge theory with $N_f = 2N$

We define

[Kozcaz, Pasquetti, FP, Wyllard]

- $Z^{(0),i}$ the sum of all terms with $k_i \neq 0$ and $k_j = 0$ for $i \neq j$
- $Z^{(1),i,j}$ the sum of all terms with $k_i \neq 0$, $k_j = 1$ for and $k_r = 0$ for $r \neq i, j$

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Thus

$$Z_{inst} = \sum_i Z^{(0),i} + \sum_{i,j} Z^{(1),i,j} \dots$$

where

$$Z^{(0),i} = \sum_{n=1}^{\infty} \frac{\left(\frac{\mu_{i+1}}{\epsilon_1} - \frac{a_i}{\epsilon_1} + \frac{\epsilon_2}{\epsilon_1} \lfloor \frac{i}{N} \rfloor + 1\right)_n \left(\frac{\tilde{\mu}_i}{\epsilon_1} - \frac{a_i}{\epsilon_1}\right)_n}{\left(\frac{a_{i+1}}{\epsilon_1} - \frac{a_i}{\epsilon_1} + \frac{\epsilon_2}{\epsilon_1} \lfloor \frac{i}{N} \rfloor + 1\right)_n n!} (-y_i)^n$$

- a_i are the Coulomb branch parameters
- $\mu_i, \tilde{\mu}_i$ are the hypermultiplets masses

Claim: the instanton partition function for $\mathcal{N} = 2$ $SU(2)$ gauge theories with a full surface operator are equivalent to modified affine $SL(2)$ conformal blocks

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Affine $SL(2)$

$$[J_n^0, J_m^0] = \frac{k}{2} n \delta_{n+m,0}, \quad [J_n^0, J_m^\pm] = \pm J_{n+m}^\pm, \quad [J_n^+, J_m^-] = 2J_{n+m}^0 + k n \delta_{n+m,0}$$

- $|j\rangle$ primary state, $J_0^0|j\rangle = j|j\rangle$ and $J_{1+n}^-|j\rangle = J_{1+n}^0|j\rangle = J_n^+|j\rangle = 0$
- $V_j(x, z)$ primary field, x is an isospin variable and z is the worldsheet coordinate

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Differential operators

$$[J_n^A, V_j(x, z)] = z^n D^A V_j(x, z)$$

$$D^+ = 2jx - x^2 \partial_x, \quad D^0 = -x \partial_x + j, \quad D^- = \partial_x$$

$\mathcal{N} = 2$ $SU(2)$ SCYM

$$Z_{\text{instanton}} = (1 - z)^{2j_2(-j_3+k/2)} \langle j_1 | \mathcal{V}_{j_2}(1, 1) \mathcal{V}_{j_3}(x, z) | j_4 \rangle$$

where

$$\mathcal{V}_j = \mathcal{K} V_j \quad \mathcal{K}(x, z) = \exp \left[- \sum_{n=1}^{\infty} \frac{1}{2n-1} \left(z^{n-1} x J_{1-n}^- + \frac{z^n}{x} J_{-n}^+ \right) \right]$$

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It can be evaluated perturbatively considering the decomposition

$$\sum_{\mathbf{n}, \mathbf{A}; \mathbf{n}', \mathbf{A}'} \langle j_1 | \mathcal{V}_{j_2}(1, 1) | \mathbf{n}, \mathbf{A}; j \rangle X_{\mathbf{n}, \mathbf{A}; \mathbf{n}', \mathbf{A}'}^{-1}(j) \langle \mathbf{n}', \mathbf{A}'; j | \mathcal{V}_{j_3}(x, z) | j_4 \rangle$$

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The components $Z^{(0),i}$ of the instanton function are reproduced by terms with the following internal states

- $(J_0^-)^n | j \rangle$ that gives a x^n term
- $(J_{-1}^+)^n | j \rangle$ that gives a $\left(\frac{z}{x}\right)^n$ term

The complete dictionary is

- $y_1 = x, \quad y_2 = \frac{z}{x}, \quad j = -\frac{1}{2} + \frac{a_1}{\epsilon_1}, \quad k = -2 - \frac{\epsilon_2}{\epsilon_1}$
- $j_1 = -\frac{\epsilon_1 + \epsilon_2 + \mu_1 - \mu_2}{2\epsilon_1}, \quad j_2 = -\frac{2\epsilon_1 + \epsilon_2 + \mu_1 + \mu_2}{2\epsilon_1}$
- $j_3 = -\frac{2\epsilon_1 + \epsilon_2 - \tilde{\mu}_1 - \tilde{\mu}_2}{2\epsilon_1}, \quad j_4 = -\frac{\epsilon_1 + \epsilon_2 + \tilde{\mu}_1 - \tilde{\mu}_2}{2\epsilon_1}$

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What about the $SU(N)$ gauge theories with $N > 2$?

$\mathcal{N} = 2$ $SU(N)$ theories and affine $SL(N)$ algebra

[Kozcaz, Pasquetti, FP, Wyllard]

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$$J_n^i, \quad J_n^{i+}, \quad J_n^{i-}, \quad J_n^{il} \quad (i \neq l)$$

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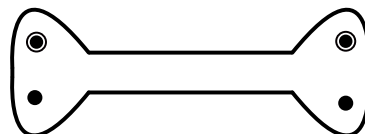
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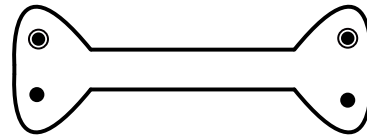
- $|j\rangle$ primary state, is labeled by $j = \sum_{i=1}^{N-1} j^i \omega_i$ where ω_i are the fundamental weights of $SL(N)$
- $J_0^i |j\rangle = j^i |j\rangle$ and $J_0^{i+} |j\rangle = 0$, $J_0^{il} |j\rangle = 0$ ($i > l$), $J_n^A |j\rangle = 0$ ($n > 0$)
- $V_j(x, z)$ primary field, x is a vector of isospin variables and z is the worldsheet coordinate

Let's focus on the conformal $\mathcal{N}=2$ $SU(N)$ gauge theory coupled to $N_f = 2N$ hypermultiplets, i.e.



- simple puncture associated to a state V_χ (1 parameter)
- full puncture associated to a non-degenerate state V_j with generic j (N-1 parameters)

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- simple puncture associated to a state V_χ (1 parameter)
- full puncture associated to a non-degenerate state V_j with generic j (N-1 parameters)

The primary field with $j = \chi = \kappa\omega_1$ depends only on $N - 1$ isospin variables and the action of the generators on these fields is expressed in terms of differential operators

$$[J_n^A, V_j(x, z)] = z^n D^A V_j(x, z)$$

The instanton function is equivalent to

$$\sum_{\mathbf{n}, \mathbf{A}; \mathbf{n}', \mathbf{A}'} \langle j_1 | \mathcal{V}_{\chi_2}(1, 1) | \mathbf{n}, \mathbf{A}; j \rangle X_{\mathbf{n}, \mathbf{A}; \mathbf{n}', \mathbf{A}'}^{-1}(j) \langle \mathbf{n}', \mathbf{A}'; j | \mathcal{V}_{\chi_3}(x, z) | j_4 \rangle$$

where

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- $j^i = -\frac{1}{2} + \frac{a_i - a_{i+1}}{2\epsilon_1}, \quad k = -N - \frac{\epsilon_2}{\epsilon_1}$
- $\frac{\tilde{\mu}_i}{2\epsilon_1} = -\frac{\kappa_3}{N} + \langle h_i, j_4 + \frac{\rho}{2} \rangle, \quad \frac{\mu_i}{2\epsilon_1} = \frac{\kappa_2}{N} + \langle h_i, j_1 + \frac{\rho}{2} \rangle$

Conclusion

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- AGT works also for quiver tails
- the surface operators modify the 2D CFT
- non-local operators in quiver tails?
- can we reproduce the full partition function considering the correlator of some CFT with affine algebra?
- what is the physical meaning of the \mathcal{K} operator?